

## **AFL-CIO Office of Investment**

<http://www.aflcio.org/Corporate-Watch/Capital-Stewardship/>

The AFL-CIO's Capital Stewardship Program enables workers to have a voice in the capital markets by leading corporate governance shareholder initiatives and advocating for legislative and regulatory reform. The AFL-CIO Proxy Voting Guidelines have been developed to serve pension fund trustees as a guide for voting their funds' shareholder proxies. The goal of the guidelines is to assist trustees in exercising their ownership rights in ways that achieve long-term value by supporting important shareholder initiatives on corporate accountability. These initiatives include board of directors' proposals, corporate governance, and proposals concerning employee relations, executive compensation and corporate responsibility issues. The Proxy Voting Guidelines also provide an in-depth discussion of fiduciary duties of plan trustees described under the Employee Retirement Income Security Act (ERISA). As a complement to the guidelines, AFL-CIO's Key Votes Survey is designed to help pension fund trustees fulfill their fiduciary duty to monitor the proxy voting performance of investment managers. These proposals are assessed by the AFL-CIO Proxy Voting Guidelines and managers are ranked by the percentage of votes cast in accordance with the guidelines.

## **Business Ethics Magazine**

[www.business-ethics.com](http://www.business-ethics.com)

Business Ethics is an online magazine working in the fields of ethics, governance, corporate responsibility and socially responsible investing to "promote ethical business practices, to serve that growing community of professionals and individuals striving to work and invest in responsible ways."

## **Carbon Disclosure Project – CDP**

<https://www.cdp.net/en>

CDP works to transform the way the world does business to prevent dangerous climate change and protect our natural resources. We use the power of measurement and information disclosure to improve the management of environmental risk. By leveraging market forces including shareholders, customers and governments, CDP has incentivized thousands of companies and cities across the world's largest economies to measure and disclose their environmental information. We put this information at the heart of business, investment and policy decision making. We hold the largest collection globally of self-reported climate change, water and forest-risk data. Through our global system companies, investors and cities are better able to mitigate risk, capitalize on opportunities and make investment decisions that drive action towards a more sustainable world.

## **The California Public Employees' Retirement System – CalPERS**

[www.calpers.ca.gov](http://www.calpers.ca.gov)

CalPERS is the largest public pension fund in the U.S. working to provide health and retirement benefits to public school, local agency, and state employers. Their mission is to provide responsible and efficient stewardship to improve long-term pension and health benefit sustainability (including taking account of environmental, social, and governance factors), to cultivate a high-performing, risk-intelligent and innovative organization, and to engage the state and national policy development to enhance the long-term sustainability and effectiveness of implemented programs. Each mission goal above is broken down into specific objectives and initiatives, all related to CalPERS strategic themes (alignment of interest, human capital, and climate change) which can be found in the CalPERS 2012-2017 Strategic Plan.

## **CalPERS Sustainable Investment Research Initiative - SIRI**

<http://www.gsm.ucdavis.edu/project-overview>

The Sustainable Investment Research Initiative (SIRI) was launched by CalPERS, in partnership with University of California, Davis to study the potential impacts of using ESG issues and sustainability factors to ensure long-term performance. The study hopes to bridge gaps in the global knowledge of how ESG factors, such as climate change, labor practices, human rights, and executive compensation, influence investment risk and return across asset classes.

## **Ceres**

<http://www.ceres.org/>

Ceres is a non-profit organization including a network of investors, companies and public interest groups to mobilize investor and business leadership to build a sustainable global economy. The Ceres Coalition itself works with more than 130 member organizations and social, non-profit groups to engage with corporations and help advance the goal of building a sustainable global economy. Accessible from their website, Ceres also publishes a Company Network, listing nearly 70 companies across a variety of sectors who are committed to improving their performance on social and environmental issues and disclosing strategies and progress publicly. They further provide an Investor Network, listing investors, including state treasurers, institutional investors, labor groups, and SRI funds, that are working to improve corporate strategies and public policies on environmental and social challenges.

## **Committee on Workers' Capital - CWC**

[www.workerscapital.org](http://www.workerscapital.org)

The Committee on Workers' Capital (CWC) provides labor union organizations with vital connections to advance their global responsible investment agendas. The Committee's activities include educating union pension trustees, monitoring global trends and policy, and impacting corporate and financial markets. CWC members participate in various international organizations, such as the PRI, the ICGN, and the EURES Institute, to work on issues of interest to the responsible investment of workers' capital.

## **Corporate Governance**

[www.corpgov.net](http://www.corpgov.net)

Corporate Governance was founded in 1995 to provide news, commentary, and a network for those interested in transforming an arcane subject, discussed at a snail's pace in academic and by a few dozen practitioners, to a more practical discipline where knowledge is shared then put into practice by investors and corporations must closer to the speed of light. The mission is to help shareholders enhance the production of wealth by acting as long-term shareowners. Engaged owners invest no just money, but ideas and actions.

## **CorpWatch**

[www.corpwatch.org](http://www.corpwatch.org)

CorpWatch is a non-profit, independent group of investigative researchers and journalists working to expose corporate malfeasance and to advocate for multinational corporate accountability and transparency across a wide range of industries. Their mission includes fostering global justice, independent media activism, democratic control over organizations, and exposure of corporations that profit from war, fraud, environmental human rights, and other abuses. Their website provides an interactive research guide that takes you through the steps of researching a corporation's business strategy, operations, financial status, and environmental and social record.



# Responsible Investment Support Organizations

## **Council of Institutional Investors – CII**

<http://www.cii.org/>

The Council of Institutional Investors (CII) is a nonprofit organization of pension funds, employee benefit funds, endowments and foundations. The CII works to educate its members and the public about effective corporate governance, shareowner rights, and other investment issues. They also advocate on behalf of their members for effective corporate governance and strong shareowners rights. CII staff and members directly engage with corporate managers and directors, stock exchange officials, regulators and policymakers regarding CII policies.

## **CtW Investment Group**

<http://ctwinvestmentgroup.com/trustee-resources>

The CtW Investment Group provides strategies and initiatives to pension funds sponsored by unions affiliated with Change to Win in order to enhance long-term shareholder returns through active ownership. The group aims to achieve corporate accountability and retirement security by ensuring independent and accountable directors, reasonable executive compensation, and sound environmental, human resource, and other business policies. Importantly, the CtW Investment Group's trustee resources include a report analyzing human capital management strategies currently practiced by U.S. public companies and their boards; they found a statistically significant and negative relationship between pay inequality within publicly traded companies and long-term shareholder returns.

## **Environmental Defense Fund – EDF**

[www.edf.org](http://www.edf.org)

EDF's "ESG Management Tool" for private equity is a self-assessment tool whereby a GP's current ESG-related management practices are benchmarked against current best practices. GPs will find this tool helpful in understanding how they are performing against current best practice areas and in identifying where they can improve.

## **Federated Hermes**

<https://www.federatedhermes.com>

Founded by two Pittsburghers in 1955, Federated currently manages over \$500B in assets. Last year, Federated bought 60% of London-based Hermes Asset Management, a responsible investment pioneer with over \$40B in managed assets. Hermes is assisting Federated with the integration of ESG and a build-out of engagements in the U.S. Hermes also manages \$9B of assets in real estate, private capital, and infrastructure opportunities. The two organizations have tools to provide customized information on RI and corporate governance.

## **Global Impact Investing Network – GIIN**

[www.thegiin.org/](http://www.thegiin.org/)

The Global Impact Investing Network (GIIN) is a nonprofit organization dedicated to increasing the scale and effectiveness of impact investing. Impact investments are investments made into companies, organizations, and funds with the intention to generate social and environmental impact alongside a financial return. Impact investments can be made in both emerging and developed markets, and target a range of returns from below market to market rate, depending upon the circumstances. The GIIN builds critical infrastructure and supports activities, education, and research that help accelerate the development of a coherent impact investing industry.

## **Global Real Estate Sustainability Benchmark – GRESB**

[www.gresb.com](http://www.gresb.com)

GRESB, an initiative of some of the world's largest institutional investors, leading academics and industry bodies, provides a science based sustainability benchmark for commercial property portfolios, acting as a tool for institutional investors to start a dialogue on social and environmental issues with their real estate managers. GRESB is committed to assessing the sustainability performance of real estate portfolios (public, private and direct) around the globe. The dynamic benchmark is used by institutional investors to engage with their investments with the aim to improve the sustainability performance of their investment portfolio, and the global property sector at large.

## **Global Reporting Initiative GRI**

<https://www.globalreporting.org>

The GRI has specific guides and guidelines for reporting in different industries and sectors. The GRI offers a wealth of sector-specific guidance on sustainability metrics and reporting. This includes a Sustainability Reporting Framework, with guidelines, templates and checklists. The templates for sustainability reporting in different industries offer GPs an idea of the various issues which it may encounter at its portfolio companies.

## **Hermes Investment Management**

<https://www.hermes-investment.com/ukw/wp-content/uploads/sites/80/2015/09/uk-regional-corporate-governance-principles.pdf>

Hermes is the primary manager of the BT Pension Scheme and one of the largest institutional asset managers in the UK. Public market capabilities include high active share equities, specialist credit, government bonds and multi asset. Private market expertise includes real estate, infrastructure, private equity and private debt. Hermes EOS enables institutional shareholders around the world to meet fiduciary responsibilities and become active owners of public companies. HEOS is based on the premise that companies with informed and involved shareholders are more likely to achieve superior long-term performance than those without.

## **Initiative for Responsible Investment at Harvard University - IRI**

<https://iri.hks.harvard.edu/>

The Initiative for Responsible Investment (IRI) was founded to provide institutional support for catalytic activity for responsible investment, broadly construed, with a strong focus on creating a foundation of research activity around the field. The IRI promotes the development of theory and practice of responsible investment through research, dialogue, and action. The IRI works to fill these needs and to catalyze the development of additional organizations working toward the same goals.

## **InSight at Pacific Community Ventures**

<http://www.pacificcommunityventures.org/reports-and-publications/>

Pacific Community Ventures is a 501(c)(3) nonprofit that was founded in 1998 by former Silicon Valley executives who believed that small businesses in underserved communities should have easier access to the high-caliber advice and capital that revolutionized the high-tech industry. PCV's services include advice and capital to help small businesses succeed, plus impact evaluation, research, and policy services to propel the impact economy forward.

## **Institutional Investor**

<http://www.institutionalinvestor.com/>

Institutional Investor is a leading international business to business publisher, focused primarily on international finance. It publishes magazines, newsletters and journals as well as research, directories, books and maps. It also runs conferences, seminars and training courses and is a provider of electronic business information through its capital market databases and emerging markets information service.

## **Institutional Limited Partners Association – ILPA**

[ilpa.org](http://ilpa.org)

The ILPA Due Diligence Questionnaire Tool covers topics related to fund diligence with one section dedicated to governance and another section dedicated to risk/compliance/ESG. This checklist gives GPs a clearer idea of LP's ESG expectations during the fundraising period. The tool presents metrics which can be used to assess a GP on ESG, including an overview of the various ESG initiatives which GPs can join.

## **Interfaith Center on Corporate Responsibility - ICCR**

[www.iccr.org/](http://www.iccr.org/)

The Interfaith Center on Corporate Responsibility is a coalition of faith and values-driven organizations who view the management of their investments as a powerful catalyst for social change. Our membership comprises nearly 300 organizations including faith-based institutions, socially responsible asset management companies, unions, pension funds and colleges and universities that collectively represent over \$100 billion in invested capital.

## **International Corporate Governance Network - ICGN**

[www.icgn.org/](http://www.icgn.org/)

An investor-led organization of governance professionals, ICGN's mission is to inspire and promote effective standards of corporate governance to advance efficient markets and economies worldwide. We do this through three core activities: (1) Influencing policy by providing a reliable source of practical knowledge and experiences on corporate governance issues, thereby contributing to a sound regulatory framework and a mutual understanding of interests between market participants; (2) Connecting peers and facilitating cross-border communication among a broad constituency of market participants at international conferences and events, virtual networking and through other media; and (3) Informing dialogue amongst corporate governance professionals through the publication of policies and principles, exchange of knowledge and advancement of education worldwide.

## **International Finance Corporation – IFC**

[www.ifc.org](http://www.ifc.org)

The IFC has developed the *Environmental and Social Management Toolkit* for Private Equity to operationalize its performance standards. It is designed to assist GPs in assessing and managing the environmental and social risks and opportunities of their investments. The toolkit is organized into a three-phase investment cycle (screening, appraisal, management) and generates the main E&S issues to be addressed for each investment. An online assessment engine (the 'ES-gine') offers resources and template to help tackle the identified E&S issues.

The IFC's *FIRST for Sustainability portal* provides financial institutions with guidance on how to manage environmental and social risks and opportunities in their investment activities. The portal covers ESG factors across different sectors and regions. The portal also provides guidance on how to implement an Environmental and Social Management System, how to conduct E&S due diligence for investment opportunities and how to create a pipeline of new business opportunities which target specific environmental needs.

## **International Foundation of Employee Benefit Plans**

[www.ifebp.org](http://www.ifebp.org)

The International Foundation of Employee Benefit Plans (IFEPPB) is a nonprofit organization, dedicated to being a leading objective and independent global source of employee benefits, compensation and financial literacy education and information. IFEPPB delivers education, information and research, and networking opportunities to thousands of benefits and compensation professionals who have come to rely on it for objective, accurate and timely information. We invite you to discover what makes the International Foundation different—and how we work hard to provide our members with the latest benefits and compensation resources.

## **International Labour Organization Standards - ILO**

[www.ilo.org/](http://www.ilo.org/)

Underlying the ILO's work is the importance of cooperation between governments and employers' and workers' organizations in fostering social and economic progress. The ILO aims to ensure that it serves the needs of working women and men by bringing together governments, employers and workers to set labour standards, develop policies and devise programs. The very structure of the ILO, where workers and employers together have an equal voice with governments in its deliberations, shows social dialogue in action. It ensures that the views of the social partners are closely reflected in ILO labour standards, policies and programs.

## **International Labor Rights Forum – ILRF**

[www.laborrights.org](http://www.laborrights.org)

ILRF is a human rights organization that advocates for workers globally by working to: hold global corporations accountable for labor rights violations in their supply chains; advance policies and laws that protect workers; and strengthen workers' ability to advocate for their rights. They work with a variety of groups, including trade unions, faith-based organizations, and community groups to support workers and their families. They aim to achieve dignity and justice for workers worldwide and to ensure that workers have the power to speak out and organize to defend their rights and interests free from discrimination.

## **Investor Network on Climate Risk - INCR**

<https://www.ceres.org/networks/ceres-investor-network>

The Investor Network on Climate Risk (INCR) is a network of 100 institutional investors representing more than \$13 trillion in assets committed to addressing the risks and seizing the opportunities resulting from climate change and other sustainability challenges. INCR's mission is to mobilize investor leaders to address climate and other key sustainability risks, while building low-carbon investment opportunities. Over the past 10 years, this handful of investors has grown to more than 100 members managing over \$11 trillion in total assets. INCR now includes the largest institutional investors in North America as well as leading religious and labor funds, asset managers and socially responsible investment funds.

## **Local Authority Pension Fund Forum – LAPFF**

[www.lapfforum.org](http://www.lapfforum.org)

The Local Authority Pension Fund Forum (LAPFF) is the UK's leading collaborative shareholder engagement group. They bring together local authority pension funds from across the country to ensure investments are made responsibly and to ensure returns that are financially and environmental sustainable over the long term. LAPFF has adopted a high-profile, interventionist approach to shareholder engagement and stewardship; They have publicly led investor criticism of accounting standards that misrepresent the capital position of financial institutions and have spoken out against poor standards and excessive salaries.

## **National Conference on Public Employee Retirement System – NCPERS**

[www.ncpers.org](http://www.ncpers.org)

The National Conference on Public Employee Retirement Systems (NCPERS) is the largest trade association for public sector pension funds, representing more than 550 funds throughout the United States and Canada. It is a unique non-profit network of trustees, administrators, public officials and investment professionals who collectively manage nearly \$3 trillion in pension assets held in trust for approximately 21 million public employees and retirees — including firefighters, law enforcement officers, teachers, and other public servants. Founded in 1941, NCPERS is the principal trade association working to promote and protect pensions by focusing on Advocacy, Research and Education for the benefit of public sector pension stakeholders.

## **National Coordinating Committee for Multiemployer Plans - NCCMP**

[www.nccmp.org](http://www.nccmp.org)

NCCMP is an organization of national, regional and local multiemployer pension and health and welfare plans, International and Local Unions, national and local employer associations, individual local employers, and multiemployer fund professionals. The NCCMP monitors, on a non-partisan basis, legislative, regulatory and legal developments from conception to implementation to enforcement; effectively representing the interests of multiemployer plans and participants every step of the way. By communicating with government officials, members of Congress and staff about the unique characteristics of multiemployer plans, the NCCMP has saved multiemployer plans hundreds of millions of dollars in regulatory and administrative costs.

## **Organization for Economic Co-operation and Development - OECD**

[www.oecd.org](http://www.oecd.org)

The mission of the Organization for Economic Co-operation and Development (OECD) is to promote policies that will improve the economic and social well-being of people around the world. The OECD provides a forum in which governments can work together to share experiences and seek solutions to common problems. We work with governments to understand what drives economic, social and environmental change. The OECD Guidelines are far-reaching recommendations addressed by governments to multinational enterprises operating in or from adhering countries. They provide voluntary principles and standards for responsible business conduct in areas such as employment and industrial relations, human rights, environment, information disclosure, combating bribery, consumer interests, science and technology, competition, and taxation.

## **OECD Guidelines for Multinational Enterprises – MNEs**

[www.oecd.org/corporate/mne/oecdguidelinesformultinationalenterprises.htm](http://www.oecd.org/corporate/mne/oecdguidelinesformultinationalenterprises.htm)

The OECD Guidelines for Multinational Enterprises are far reaching recommendations for responsible business conduct that 44 adhering governments – representing all regions of the world and accounting for 85% of foreign direct investment – encourage their enterprises to observe wherever they operate. The Guidelines were updated in 2011 for the fifth time since they were first adopted in 1976.

## **OECD Principles of Corporate Governance**

[www.oecd.org/corporate/oecdprinciplesofcorporategovernance.htm](http://www.oecd.org/corporate/oecdprinciplesofcorporategovernance.htm)

First released in May 1999 and revised in 2004, the OECD Principles are one of the 12 key standards for international financial stability of the Financial Stability Board and form the basis for the corporate governance component of the Report on the Observance of Standards and Codes of the World Bank Group. The OECD Principles are currently under review. In 2014, the OECD is launching a review of the Principles to ensure the continuing high quality, relevance and usefulness of the Principles taking into account recent developments in the corporate sector and capital markets.

## **Pensions & Investments**

<http://www.pionline.com/>

*Pensions & Investments* consistently delivers news, research and analysis to the executives who manage the flow of funds in the institutional investment market. Since its founding in 1973, this continues to be the mission of *Pensions & Investments*, the international newspaper of money management. Written for pension, portfolio and investment management executives at the hub of this market, *Pensions & Investments* provides its audience with timely and incisive coverage of events affecting the money management business. Written by a worldwide network of reporters and correspondents, *Pensions & Investments'* coverage includes business and financial news, legislative reports, global investments, product development, technology, investment performance, executive changes, corporate governance and other topics crucial to the people who drive the world of professional money.

## **Responsible Contractor Guide**

[www.responsiblecontractorguide.com/#](http://www.responsiblecontractorguide.com/#)

The Service Employees International Union, CTW, CLC, provides a guide that lists contractors who provide janitorial and security services by their responsibility ratings. The ratings are based on responsible employment practices, such as appropriate compensation and benefits; maximization of continuity at the workplace; prioritization of worker safety; encouragement of collective bargaining; provision of bona-fide complaint or grievance procedures; support of environmental and energy efficiency policies; and more. Contractor's ratings are displayed by color; green indicates that the contractor follows responsible contracting policies, yellow indicates problems in a particular area of responsibility, and red indicates that the contractor has failed to follow responsible contracting practices.

## **Responsible Investor**

<https://www.responsible-investor.com/>

Focusing on business-critical news and data, Responsible Investor is the only dedicated news and events service covering responsible investment, ESG and sustainable finance for institutional investors globally. Responsible Investor is read by pension funds, public and government funds, central banks, endowments, foundations, charities, faith groups, family offices, corporations, investment consultants, asset managers, research and data providers, insurance companies, commercial banks, private banks, investment banks, custodian banks, index providers, associations, governments, regulators, NGOs, trades unions, supra-nationals, lawyers, accountants, lobbyists, the media etc.

## **Shareholder Association for Research and Education – SHARE**

[www.share.ca](http://www.share.ca)

SHARE is a Canadian leader in responsible investment services, research, and education for institutional investors. They work to advance responsible investment by encouraging and assisting asset owners to maximize long-term financial returns, "by promoting good corporate governance, respect for human rights, vibrant communities, and a healthy environment"; and to promote sustainable prosperity and realize enhanced returns by invoking responsibility for the impact of investments. Their clients include pension funds, mutual funds, foundations, faith-based organizations, and asset managers. SHARE is a signatory to the PRI and is a GRI Organizational Stakeholder.



## **Social Investment Research Analyst Network - SIRAN**

[www.sriconnect.com](http://www.sriconnect.com)

The Sustainable Investment Research Analyst Network (SIRAN) is a working group of U.S. SIF: The Forum for Sustainable and Responsible Investment. SIRAN engages more than 300 U.S. SIF members who are North American sustainable investment research analysts from over 60 investment firms, research providers and affiliated investors groups. SIRAN's sustainability research analysts research and evaluate corporate policies and performance on various environmental, social and governance issues within companies, including health and safety issues, diversity and human resources policies, and human rights and the supply chain. Such research may be used among investment managers in creating proprietary SRI products, portfolios, corporate benchmarking reports, risk assessments and to engage with companies to encourage better performance.

## **Sustainability Accounting Standards Board - SASB**

[www.sasb.org](http://www.sasb.org)

SASB is developing industry-specific sustainability standards for the recognition and disclosure of material ESG impacts by companies which are traded on U.S. exchanges. SASB is mapping the relevant ESG issues for each of the sectors that it currently covers: Health Care, Financials, Technology & Communications, Nonrenewable Resources, Transportation, Services, Resource Transformation, Consumption, Renewable Resources & Alternative Energy, and Infrastructure. For each of the industries in these sectors, SASB identifies which ESG factors are likely to be material and provides associated accounting metrics. GPs can use these standards to determine which ESG issues are likely to be material within different industries and the associated metrics which can be used for reporting.

## **The Aspen Institute**

<http://www.aspeninstitute.org/>

The Aspen Institute is an educational and policy studies organization based in Washington, DC. Its mission is to foster leadership based on enduring values and to provide a nonpartisan venue for dealing with critical issues. These issue areas include community and family prosperity, culture and communication, economy, education, energy and environment, health, justice and equity, philanthropy and social enterprise, and security and global affairs.

## **The Forum for Sustainable and Responsible Investment – U.S. SIF**

[www.ussif.org](http://www.ussif.org)

The Forum for Sustainable and Responsible Investment is a membership association for investment management and advisory firms, mutual fund companies, research firms, financial planners and advisors, broker-dealers, banks, credit unions, community development organizations, pension funds, non-profit associations, and other asset owners engaged in sustainable, responsible, and impact investing. U.S. SIF and its members aim to advance investment practices that consider environmental, social and corporate governance criteria to generate long-term competitive financial returns and positive societal impact. They are supported by the U.S. SIF Foundation, a non-profit organization that undertakes educational, research and programmatic activities to advance the mission of U.S. SIF. They report annually according to the Global Reporting Initiative Guidelines.

## **The International Trade Union Confederation – ITUC**

<http://www.ituc-csi.org>

The International Trade Union Confederation (ITUC) is the global voice of the world's working people. The ITUC's primary mission is the promotion and defense of workers' rights and interests, through international cooperation between trade unions, global campaigning and advocacy within the major global institutions. Its main areas of activity include trade union and human rights; economy, society and the workplace; equality and non-discrimination; and international solidarity. The ITUC has built the world's most comprehensive database of violations of workers' rights ([survey.ituc-csi.org](http://survey.ituc-csi.org)). Descriptive texts detailing facts on real-world violations faced by workers have been published in the Survey since 1983. In 2014, qualitative surveyed information is supplemented by numerical ratings for each country revealing the varying degree of collective labor rights enjoyed by workers across the world, the ITUC Global Rights Index.

## **The Labor and Worklife Program at Harvard Law School**

<http://www.law.harvard.edu/programs/lwp/>

The Pensions and Capital Stewardship Project was established to educate and inform workers, scholars, researchers, and practitioners on issues of retirement security, including employment-based retirement plans, and of pension fund governance, management, investment, and related matters.

## **Trustee Leadership Forum for Retirement Security at Harvard University – TLF**

<https://iri.hks.harvard.edu/trustee-leadership-forum>

The Trustee Leadership Forum for Retirement Security (TLF) – is an applied research collaboration that draws on the experiences of labor-affiliated trustees to identify problems in developing strategies for long-term sustainable wealth creation. The TLF's Goal is to support systematic thinking about responsible investment by trustees regarding long-term pension fund sustainability. They work towards this goal by conducting participatory action research with a self-defined group of labor-affiliated trustees and by soliciting input from a group of related stakeholders including fund managers, lawyers and consultants, academics, and others.

## **UN Environment Program Finance Initiative - UNEP FI**

[www.unepfi.org](http://www.unepfi.org)

The United Nations Environment Program Finance Initiative (UNEP FI) was established as a platform associating the United Nations and the financial sector globally. The need for this unique United Nations partnership arose from the growing recognition of the links between finance and Environmental, Social and Governance (ESG) challenges, and the role financial institutions could play for a more sustainable world. UNEP FI is continuously building its membership, and works closely with over 200 members; the membership is made up of public and private financial institutions from around the world and is balanced between developed and developing countries. Banking, insurance and investment, the three main sectors of finance, are represented and brought together in this unique partnership.

## **UN Global Compact**

[www.unglobalcompact.org](http://www.unglobalcompact.org)

The UN Global Compact is a strategic policy initiative for businesses that are committed to aligning their operations and strategies with ten universally accepted principles in the areas of human rights, labor, environment and anti-corruption. By doing so, business, as a primary driver of globalization, can help ensure that markets, commerce, technology and finance advance in ways that benefit economies and societies everywhere. The Global Compact has shaped an initiative that provides collaborative solutions to the most fundamental challenges facing both business and society. The initiative seeks to combine the best properties of the UN, such as moral authority and convening power, with the private sector's solution-finding strengths, and the expertise and capacities of a range of key stakeholders. The Global Compact is global and local; private and public; voluntary yet accountable.

## **UN Guiding Principles on Business and Human Rights**

<https://www.unglobalcompact.org/what-is-gc/mission/principles/principle-1>

In 2011, the United Nations Human Rights Council unanimously endorsed the UN Guiding Principles on Business and Human Rights, a set of guidelines for States and companies to prevent and address human rights abuses committed in business operations.

## **UN Principles of Responsible Investment - PRI**

[www.unpri.org](http://www.unpri.org)

The United Nations-supported Principles for Responsible Investment (PRI) Initiative is an international network of investors who are working to implement the six Principles of Responsible Investment. Their goal is to provide an understanding of sustainable investing's implications and support signatories to consider sustainable investing into their investment decision making and ownership practices. The responsible investment process is tailored to each organization's resources and investment strategy. Under the six principles, the initiative aims to foster good governance, integrity and accountability, and to address obstacles to a sustainable financial system that lie within market practices, structures and regulation. The PRI Initiative is a leading global network for investors to publicly demonstrate their commitment to responsible investment.

## **West Coast Infrastructure Exchange - WCX**

<http://westcoastx.com/>

WCX is a unique regional platform designed to serve as a translation point between the public and private sectors, and provide technical assistance regarding public private partnerships to public sector agencies in the three West Coast states of California, Oregon and Washington. Formed in 2013, WCX is an independent 501(c)(3) non-profit with a board composed of senior representatives of the governors and treasurers of the member states and the executive director of Partnerships British Columbia.

## **World Economic Forum**

[www.weforum.org](http://www.weforum.org)

The World Economic Forum is an international institution comprised of political, business, academic, and other societal leaders whose goal is to utilize cooperation between public and private entities to improve the state of the world. The Forum aims to build, serve, and sustain communities through an integrated concept of meetings, research networks, tasks forces, and technological collaboration. Their website provides a number of links to reports, events, projects, issues, and communities working towards building sustained communities in the spirit of global citizenship.